## Black hole perturbations in effective field theories of modified gravity

### CANTATA

Cosmology and Astrophysics Network for Theoretical Advances and Training Actions

### László Á. GERGELY University of Szeged



based on:

C. Gergely, Z. Keresztes, L.Á. Gergely, PHYSICAL REVIEW D 99, 104071 (2019) R. Kase, L.Á. Gergely, S. Tsujikawa, PHYSICAL REVIEW D 90, 124019 (2014)

### 4th Workgroup Meeting of COST Action CA-15117: Cosmology and Astrophysics Network for Theoretical Advances and Training Actions (CANTATA) 7-10 October 2019, University of Tuzla, Bosnia and Herzegovina

Hungarian National Research Development and Innovation Office Grant NKFIH-123996 UNKP-19-3, UNKP-19-4 New National Excellence Programs of the Ministry of Human Capacities of Hungary



National Research, Development and Innovation Office





## Isaac Newton: the first successful gravity theory



1642 - 1726/7

Philosophiae Naturalis Principia Mathematica (1687) Establishes classical mechanics Three laws of motion Universal gravity theory Derives Kepler's laws Develops Calculus



## Newton's gravity theory: strengths and limitations

### Strengths:

Unique logical framework for both the celestial and everyday life motions

Poweful tool, allowing Le Verrier to predict the planet Neptune from the motion of the planet Uranus

Remarkably precise on the Earth (for weak gravity and slow motions)

$$\varepsilon = \frac{Gm}{c^2 r} \approx \frac{v^2}{c^2} \ll 1$$

Simple: one single scalar field

Limitations:

Assumes aether



The motion of the planets deviates from the Newtonian prediction (excess in the perihelion shift)





Infinite propagation speed

### General relativity, Einstein's gravity theory

1. Matter tells space-time how to curve (Einstein equation)

$$R_{\mu\nu} - \frac{1}{2}R g_{\mu\nu} + \Lambda g_{\mu\nu} = \frac{8\pi G}{c^4} T_{\mu\nu}$$



Second Edition

HANS STEPHANI DIETRICH KRAMER MALCOLM MACCALLUM CORNELIUS HOENSELAERS EDUARD HERLT

CAMBRIDGE MONOGRAPHS ON MATHEMATICAL PHYSICS

**Exact Space-Times General Relativity** 

> JERRY B. GRIFFITHS JIŘÍ PODOLSKÝ

CAMBRIDGE MONOGRAPHS ON MATHEMATICAL PHYSICS 2. Space-time tells matter, how to move (geodetic equation)





## The success of General Relativity

### Solar System & other tests



Fig 1: Tests of General Relativity on various scales. The vertical axis is the spacetime curvature and the horizontal axis is the gravitational potential. The blue dotted lines indicate typical length scales. Modified from Psaltis arXiv:0806.1531. GR is well tested at solar system scales and also by binary pulsars (within the purple box). However, outside this region, gravity is not tested by conventional methods.

www.icg.port.ac.uk/cosmological-tests-of-gravity/

### Hulse-Taylor pulsar





Geodetic Effect 6,606 milliarcseconds/year

Guide Star IM Pegasi

(HR 8703)

Everitt, C.W.F.; Parkinson, B.W. (2009). "Gravity Probe B Science Results—NASA Final Report"

### Double pulsar



Mass-mass diagram illustrating the present tests constraining general relativity in the double pulsar PSR J0737-3039A/B. Because observations are consistent with general relativity. all lines intersect at common values of masses. Shaded orange regions are unphysical solutions because  $\sin i \le 1$ , where *i* is the orbital inclination. The mass ratio, R, and five post-Keplerian parameters (s and r, Shapiro delay shape and range;  $\omega$ , periastron advance;  $P_h$ , orbital period decay due to the emission of gravitational waves: and  $\gamma$ , gravitational redshift and time dilation) were reported by Kramer et al. (2006). The spin precession rate of pulsar B,  $\Omega_B$ , yields a new constraint on the mass-mass diagram.

## The success of General Relativity

### Network of second generation gravitational wave observatories





# ((CO))) VIRGO



Advanced LIGO, Hanford, USA, 4km Advanced LIGO, Livingston, USA, 4km Advanced Virgo, Cascina, Italy, 3km KAGRA, Kamioke, Japan, 3km Advanced LIGO Advanced Virgo KAGRA



## The success of General Relativity: Gravitational waves



FIG. 10. Time-frequency maps and reconstructed signal waveforms for the ten BBH events. Each event is represented with three panels showing whitened data from the LIGO detector where the higher SNR was recorded. The first panel shows a normalized time-frequency power map of the GW strain. The remaining pair of panels shows time domain reconstructions of the whitened signal, in units of the standard

### 01 and 02

#### () Cornell University

#### arXiv.org > astro-ph > arXiv:1811.12907

Astrophysics > High Energy Astrophysical Phenomena

GWTC-1: A Gravitational-Wave Transient Catalog of Compact Binary Mergers Observed by LIGO and Virgo during the First and Second Observing Runs

Searcl

The LIGO Scientific Collaboration, the Virgo Collaboration: B. P. Abbott, R. Abbott, T. D. Abbott, S. Abraham, F. Acernese, K. Ackley, C. Adams, R

### BNS: GW170817



#### GraceDB - Gravitational-Wave Candidate Event Database

HOME PUBLIC ALERTS SEARCH LATEST DOCUMENTATION Latest — as of 30 September 2019 19:34:15 UTC

Lattest - as of 30 September 2019 19:34:15 OTC. Text and MDC events and superwerts are not included in the warch results by default: see the query help for information on how to search for events and superevents in those categories

Query: Search for: Superviver: 1

| UID     | Labels  | t_start           | 1.0                | t_end              | FAR (Hz)  | Created               |
|---------|---|-------------------|--------------------|--------------------|-----------|-----------------------|
| 0930t   | ADVOK EM_Selected SKYMAP_READY EMBRIGHT_READY PASTRO_READY DOOK GCN_PRELIM_SENT   | 1253889264.685342 | 1253889265.685342  | 1253889266.685342  | 1.543e-08 | 2019+09+30 14:34:30 U |
| 09305   | ADVOK EM_Selected SKYMAP_READY EMBRIGHT_READY PASTRO_READY DQOK GCN_PRELIM_SENT   | 1253885758.235347 | 1253885759.246810  | 1253885760.253734  | 3.008e-09 | 2019-09-30 13:36:04 U |
| 0928c   | ADVINO EM_Selected SKYMAP_READY DQOK GCN_PRELIM_SENT  | 1253671923.328316 | 1253671923.364500  | 1253671923.400684  | 6.729e-09 | 2019-09-28 02:14:18 U |
| 0924h   | PE_READY ADVOK EM_Selected SKYMAP_READY EMBRICHT_READY PASTRO_READY DOOK GCN_PRELIM_SENT  | 1253326743.785645 | 1253326744.846654  | 1253326745.876674  | 8.928e-19 | 2019-09-24 02:19:25 U |
| 0923y   | ADVOK EM_Selected SKYMAP_READY EMBRIGHT_READY PASTRO_READY DOOK GCN_PRELIM_SENT   | 1253278576.645077 | 1253278577.645508  | 1253278578.654868  | 4.783e-08 | 2019-09-23 12:56:22 U |
| 0915#k  | PE_READY ADVOK SKYMAP_READY EMBRIGHT_READY PASTRO_READY DQOK GCN_PRELIM_SENT  | 1252627039.685111 | 1252627040.690891  | 1252627041.730049  | 9.735e-10 | 2019-09-15 23:57:25 U |
| 0910h   | PE_READY ADVOK SKYMAP_READY EMBRIGHT_READY PASTRO_READY DOOK GCN_PRELIM_SENT  | 1252139415.544299 | 1252139416.544448  | 1252139417.544448  | 3.584e-08 | 2019-09-10 08:30:21 L |
| 0910d   | PE_READY ADVOK SKYMAP_READY EMBRIGHT_READY PASTRO_READY DOOK GCN_PRELIM_SENT  | 1252113996.241211 | 1252113997.242676  | 1252113998.264918  | 3.717e-09 | 2019-09-10 01:26:35 L |
| 9901ap  | PE_READY ADVOK SKYMAP_READY EMBRIGHT_READY PASTRO_READY DQOK GCN_PRELIM_SENT  | 1251415878.837767 | 1251415879.837767  | 1251415880.838844  | 7.027e-09 | 2019-09-01 23:31:24   |
| 3829u   | PE READY ADVINO SKYMAP READY EMBRICHT READY PASTRO READY DOOK GCN PRELIM SENT   | 1251147973.281494 | 1251147974.283940  | 1251147975.283940  | 5.151e-09 | 2019-08-29 21:06:19   |
| 08281   | PE READY ADVOK SKYMAP, READY EMBRIGHT, READY PASTRO, READY DOOK, CON, PREUM, SENT   | 1251010526.884921 | 1251010527.886557  | 1251010528.913573  | 4.629e-11 | 2019-08-28 06:55:26   |
| 0828j   | PE_READY ADVOK SKYMAP_READY EMBRIGHT_READY PASTRO_READY DOOK CON_PRELIM_SENT  | 1251009262.739486 | 1251009263.756472  | 1251009264.796332  | 8.474e-22 | 2019-08-28 06:34:21   |
| 0822c   | ADVINO SKYMAP. READY EMBRICHT. READY PASTRO. READY DOOK GCN. PRELIM. SENT   | 1250472616.589125 | 1250472617.589203  | 1250472618.589203  | 6.145e-18 | 2019-08-22 01:30:23   |
| 38161   | PE READY ADVINO SKYMAP READY EMBRIGHT READY PASTRO READY DOOK GCN PRELIM SENT   | 1249995888.757789 | 1249995889.757789  | 1249995890.757789  | 1.436e-08 | 2019-08-16 13:05:12   |
| 3814by  | PE READY ADVOK SKYMAP, READY EMERICHT, READY PASTRO, READY DOOK CON PRELIM SENT   | 1249852255.996787 | 1249852257.012957  | 1249852258.021731  | 2.033e-33 | 2019-08-14 21:11:18   |
| \$08ae  | ADVINO SKYMAP, READY EMBRIGHT, READY PASTRO, READY DOOK GCN, PRELIM, SENT   | 1249338098.496141 | 1249338099.496141  | 1249338100,496141  | 3.365e-08 | 2019-08-08 22:21:45   |
| 7280    | PE READY ADVOK SKYMAP, READY EMBRICHT, READY PASTRO, READY DOOK CCN, PRELIM, SENT   | 1248331527 497344 | 1248331528 546797  | 1248331529 206055  | 2 527e=23 | 2019-07-28 06:45:27   |
| 727h    | PE READY ADVOK SKYMAP, READY EMBRICHT, READY PASTRO, READY DOOK, CON, PRELIM, SENT  | 1248242630 975288 | 1248242631 985887  | 1248242633 180176  | 1.378e-10 | 2019-07-27 06:03:51   |
| 720a    | PE READY ADVDK SKYMAP, READY EMERICHT, READY PASTRO, READY DOOK, CON PRELIM SENT  | 1247616513.703127 | 1247616534.704102  | 1247616535.860840  | 3.801e-09 | 2019-07-20 00:08:53   |
| 718v    | ADVOK SKYMAR READY EMIRICHE READY RASTRO READY DOOK CON INFLIM SENT   | 1247495779 067865 | 1247495730 067865  | 1247495731 067865  | 3.645e+08 | 2019-07-18 14:35:34   |
| 7070    | PE READY ADVOK SKYMAP, READY EMBRICHT, READY PASTRO, READY DOOK, CON, PRELIM, SENT  | 1246527223 118398 | 1246527224 181226  | 1246527225 284180  | 5 265e+12 | 2019-07-07 09:33:44   |
| 7061    | BE READY ADVOY SEVERAL READY ENDRYCHT READY RASTRO READY DOOR CON RREIN SENT  | 1246497219 221541 | 1246497210 244727  | 1246497320 595928  | 1.9010-09 | 2010-07-06 22:26:57   |
| 701ab   | PE READY ADVOX SEYMAR PEADY EMERGINE READY RASTRO READY DOOK CON RELINCENT  | 1246048403 576563 | 1246048404 577617  | 1246048405 814941  | 1.916a-08 | 2019-07-01 20:11:24   |
| 630an   | IN READY ADVOK SEYMAR, READY EMBRICHT, READY RASTRO, READY DOOK, CON, REDUIN SENT   | 1245055042 125325 | 1245955943 179550  | 1245055044 183184  | 1435e-13  | 2019-06-30 18:52-28   |
| 60210   | DE DEADY ADVOX SYMMAD DEADY CHERY/UT READY RACTED READY DOOK CON BREIN SENT   | 1243532584 081366 | 12439333943.179330 | 12435335944.183184 | 1.9010-09 | 2019-06-02 17:59:51   |
| 5240    | ADAM SYMAN READY EMPRICAT READY RACTED READY DOOR CON RELINCENT   | 1243708742 678660 | 1242208244 678559  | 1243708746 132201  | 6.9710-09 | 2018-05-24 04:52:20   |
| 22.23   | AC READY SHOULD BEADY DEBACTLY READY SHOULD BEADY SHOULD | 1242/00/45.0/0005 | 1242/00/44.0/0003  | 1242/00/40.133301  | 3.165- 10 | 2010-05-24-04-52-30   |
| 2211    | PERDAD ADVOK SKINNE KODI DABNOH KODI PATRO BLADY DOOK COLEKEDI SKI  | 1242459836.453418 | 1242433657.460735  | 1242455658.042050  | 3.1686-10 | 2019-05-21 07.44.22   |
| £106    | NE READY LONGY SCALLS READY DIRECTLY READY READY READY DOOR COLUMN SET  | 124242900.447200  | 1242442907.000934  | 1242342300.000104  | 5.302+.00 | 2019-03-21 03.02.49   |
| 7.10bb  | ADAD COMMUNICATION CONTRACTOR DECIDE DECIDE DECIDE CONTRACTOR DECIDE  | 1242313301.376673 | 1242212302.032702  | 1242317303.070270  | 3.7028-09 | 2010-07-10 17:30:04   |
| 213002  | AC ADAMA SHOW SHOWS ANALY SHOWS AND ANALY SHOW SHOW SHOW SHOWS AND  | 1242142570.474005 | 1242242377,474003  | 1242107450 024143  | 2.0046-00 | 2010-05-10 15:15:33   |
| 2178    | PERDAD ADVOX SKINWENDOF DARKENT ROOT PATHO READY DOOK COLEREDRISENT   | 1242107478.819317 | 1242107475.554141  | 1242107480.994141  | 2.3736-09 | 2019-05-17 03:51:23   |
|         |   | 1241810085.750100 | 1241810080.809141  | 1241810087.809141  | 3.7346-13 | 2019-03-13 20.34.48   |
| 5124    | PERDADY ADVOK SKYWAY, KOOP EMERCITI, KOOP PASTKO, KOOP DQCK GCN, PREDN, SKYT  | 1241/19651.411441 | 1241719052.416286  | 1241/19053.518000  | 1.9018-09 | 2019-05-12 18:07:42   |
| 5109    | ADVOK SKINAP, KODI EMBRUTI JEDOTI VISTKO JEADT DOOK CON PREDMISENT  | 1241492396.291636 | 1241492397.291636  | 1241492398.293185  | 3.3540-09 | 2019-05-10 03:00:03   |
| 50301   | PE_READY ADVOK SKYMAP_KEADY EMBRICH1_KEADY PASTKO_KEADY DQOK GON_PREDM_SENT   | 1240944861.288574 | 1240944862.412598  | 1240944863.422852  | 1.6366+09 | 2019+05+03 18:54:26   |
| 4260    | PE_READY ADVOK SKYWAY_KEADY EMERICHT_KEADY PASTKO_KEADY DQOK GON_PREDM_SENT   | 1240327332.331668 | 1240327333.348145  | 1240327334.553516  | 1.9478-08 | 2019-04-26 15:22:15   |
| 925Z    | ADVOK SKYMAP, KDAUT EMERGETI JKDAUT PASTKU, KDAUT DQOK  | 1240215502.011549 | 1240215503.011549  | 1240215504.018242  | 4.5388-13 | 2019-04-25 08:18:26   |
| HALL RE | PEJREAUT ADVOK SKYMAP_REAUT EMERGINI_REAUT PASTRO_REAUY DEOK GEN_PRELIN_SENT  | 1239917933.250977 | 1239917954.409180  | 1239917955.409180  | 1.4698-08 | 2019-04-21 21:39:16   |
| 2412m   | PE_READY ADVOK SKTMAP_READT EMBRIGHT_READT PASTRO_READY DOOK GON_PRELIN_SENT  | 1259082261.146717 | 1239082262.222168  | 1239082263.229492  | 1.683e-27 | 2019-04-12 05:31:03   |
| 408an   | PE_READY ADVOK SKYMAP_READY EMBRIGHT_READY PASTRO_READY DQOK GCN_PRELIM_SENT  | 1238782699.268296 | 1238782700.287958  | 1238782701.359863  | 2.811e-18 | 2019-04-08 18:18:27   |
| .405ar  | ADVINO SKYMAP_READY EMBRICHT_READY PASTRO_READY DQOK  | 1238515307.863646 | 1238515308.863646  | 1238515309.863646  | 2.141e-04 | 2019-04-05 16:01:56   |

## The success of GR: Event Horizon Telescope - M87 Powehi

| THE ASTROPHYSICAL JOURNAL LETTERS  | The EHT Collaboration et al.   |   | The EHT Collaboration et al.   |
|--|--|---|--|
|  | SMT PV   | M87* April  | 11, 2017   |
| Table of contents  | SMA<br>CMT ULMT  |   |  |
| Volume 875<br>Number 1, 2019 April 10<br>< Previous issue  |  | 7   |  |
| View all abstracts   | APEX ALMA  |   |  |
| First M87 Event Horizon Telescope Results. I. The Shadow of the Supermassive Black Hole The Event Horizon Telescope Collaboration Focus on the First Event Horizon Telescope Results  ↓View abstract  ↓View article PDF ♀ ePub   | врт  | $-50 \ \mu as$  | $\bigcirc$   |
| First M87 Event Horizon Telescope Results. II. Array and Instrumentation The Event Horizon Telescope Collaboration Focus on the First Event Horizon Telescope Results  | Figure 1. Eight stations of the EHT 2017 campaign over six geographic         locations as viewed from the equatorial plane. Solid baselines represent mutual visibility on M87* (+12° declination). The dashed baselines were used for the calibration source 3C279 (see Papers III and IV).         The Universe under the Microscope – Astrophysics at High Angular Resolution       IOP Publishing Journal of Physics: Conference Series 131 (2008) 012053 | April 5 April 6   | April 10   |
| +View abstract   | _  | 0 (   | 0 0  |
| The Event Horizon Telescope Kesuits. III. Data Processing and Calibration The Event Horizon Telescope Collaboration Focus on the First Event Horizon Telescope Results View abstract View acticle Processing and Calibration Focus on the First Event Horizon Telescope Results    |  | 0 1 2 3<br>Brightness Temperat  | 4 5 6<br>ture (10 <sup>9</sup> K)  |
| First M87 Event Horizon Telescope Results. IV. Imaging the Central Supermassive Black Hole         The Event Horizon Telescope Collaboration         Focus on the First Event Horizon Telescope Results         +View abstract         Imaging the Central Supermassive Black Hole |  | <b>Figure 3.</b> Top: EHT image of M87 <sup>*</sup> from observer representative example of the images collecter image is the average of three different imaging with a circular Gaussian kernel to give matched three kernels (20 $\mu$ as FWHM) is shown in the lc in units of brightness temperature, $T_b = S\lambda^2/2k_{\rm I}\lambda$ is the observing wavelength, $k_{\rm B}$ is the Boltzma angle of the resolution element. Bottom: similar days showing the stability of the basic image. | rvations on 2017 April 11 as a<br>d in the 2017 campaign. The<br>methods after convolving each<br>resolutions. The largest of the<br>wer right. The image is shown<br>$_{\Omega}\Omega$ , where S is the flux density,<br>ann constant, and $\Omega$ is the solid<br>ar images taken over different<br>structure and the convertifferent |
| First M87 Event Horizon Telescope Results. V. Physical Origin of the Asymmetric Ring<br>The Event Horizon Telescope Collaboration  | -2   | among different days. North is up and east is to  | o the left.  |
| Focus on the First Event Horizon Telescope Results         ↓ View abstract       ♥ View article       ♥ PDF       ♦ ePub   | 5 0 HILLIARC SEC -10 -15   | https://iopscience.iop.org/artic  | le/<br>053/pdf?  |
| First M87 Event Horizon Telescope Results. VI. The Shadow and Mass of the Central Black Hole<br>The Event Horizon Telescope Collaboration<br>Focus on the First Event Horizon Telescope Results  | <b>Figure 2.</b> A composite VLBA image of M87 at 43 GHz made by summing the images from the first 9 epochs of the movie project. The resolution is $0.43 \times 0.21$ mas elongated along position angle $-16^{\circ}$ . The image peak is 643 mJy beam <sup>-1</sup> and the off-source rms is 0.18 mJy beam <sup>-1</sup> .   | tbclid=IwAR258WA8ofbOCkeF<br>4FNE9MGCsmj1r_y229Euuqgt   | wO3HuaD9yZQ0V<br>JnbNul  |
| +View abstract 🔄 View article  PDF 🔗 ePub  | Because this image is the sum of several images made at different times, individual features will<br>be blurred out and the jet will appear smoother than it actually is, much like what is seen in a  |   |  |

long-exposure photograph of moving water.

## What is the problem with GR then?



-> Both DM and DE interact only gravitationally

Hope to test EFT in the near future



### No dark matter detected:

| 2000 - MACHO (microlensing)                  |
|--|
| 2014, 2016 - WIMP particles (LUX, PandaX-II, |
| Xenon100)                                    |
| 2015 - Axions (Axion Dark Matter Experiment, |
| Centre for Experimental Nuclear Physics      |
| and Astrophysics (CENPA), University of      |
| Washington)                                  |
| 2016 - Sterile neutrinos (IceCube)           |
|  |

- 2016 Extra dimensions (LHC)
- 2016 Supersymmetric particles (LHC)
- 2019 stau, Higgsino not found (ATLAS, LHC)

**Dark energy:** Cosmological constant?

But this vacuum energy density is 60 orders of magnitude smaller than the theoretical prediction of zero-point energy in quantum field theory

**Quantum gravity:** several attempts, no established final theory

Its low energy (infrared) limit should give GR and corrections at first order —> effective field theory (EFT)

## What else is the problem with GR?

### 4) Highly non-renormalizable,

can not be formulated as a QFT as for the other fundamental forces, can not directly be embedded into the standard model of particle physics

5) Early Universe inflation requires additional field (s), best fit with CMB data given by Einstein gravity with an inflaton field (slow-roll model with a concave potential) Y. Akrami *et al.* [Planck Collaboration], "Planck 2018 results. X. Constraints on inflation," arXiv:1807.06211 [astro-ph.CO].

6) Tensions in the determination of the Hubble-parameter

LITTER
An BitWareArdFi
A gravitational -wave standard siren measurement of the Hubble
constant
The LIGO Scientific Collaboration and The Virgo Collaboration\*, The IMZH Collaboration\*, The Dark Borery Camera GW EM
Collaboration and the DBS Collaboration\*, The UT40 Collaboration\*, The Las Cambres Observatory Collaboration\*, The
VINNOUSC Collaboration\*, The VIXER Collaboration\*, The
Collaboration\*, The VIXER Collaboration\*, The
VINNOUSC Collaboration\*, The VIXER Collaboration\*, The
Collaboration\*, The
VINNOUSC Collaboration\*, The
VINNO



Figure 1 | GW170817 measurement of  $H_0$ . The marginalized posterior density for  $H_0$ ,  $p(H_0 | \text{GW170817})$ , is shown by the blue curve. Constraints at 1 $\sigma$  (darker shading) and  $2\sigma$  (lighter shading) from Planck<sup>20</sup> and SH0ES<sup>21</sup> are shown in green and orange, respectively. The maximum a posteriori value and minimal 68.3% credible interval from this posterior density function is  $H_0 = 70.0^{+12.0}_{-8.0} \text{ km s}^{-1} \text{ Mpc}^{-1}$ . The 68.3% (1 $\sigma$ ) and 95.4% (2 $\sigma$ ) minimal credible intervals are indicated by dashed and dotted lines, respectively.

F) Problems in defining gravitational energy-momentum, null boundary terms in the action, occurrence of singularities...

## How to go beyond GR?

By relaxing one of the fundamental hypotheses of the Lovelock theorem that makes Einstein theory unique:

- invariance under diffeomorphisms,

(ex: Lorentz-invariance breaking, massive gravity)

-locality,

pure metric formulation in four space-time dimensions
 (add new fields, representing gravity, ex: scalar-tensor theories)
 In general they contain one or more extra d.o.f-s, used to

- descríbe dark energy (fifth force)
- make the theory renormalizable (cure the UV problem of GR)

Requirements:

- compatibility with observations (Solar System, etc...)

- stability

----> perturbations

this talk

## Various techniques for discussing perturbations

- A) Newman-Penrose formalism (1+1+1+1 decomposition)
  - pros: first order díff. eqs,
  - cons: myriad of variables, 4 types of non-commuting derivatives
  - ex: black hole perturbations (Chandrasekhar): 70 coupled diff. eqs. for 50 indep. Vars.
     ex: Vacuum Kerr-Schild space-times (my PhD thesis)
- B) 2+1+1 decomposition based on kinematic quantities (optical scalars) + electric and magnetic projections of the Weyl tensor

pros: generically applicable cons: still many variables

C) metric perturbations

pros: only 10 metric functions cons: requires full gauge fixing Versions:

3+1 decomposition, ADM variables

2+1+1 decomposition, orthogonal double foliation

L.Á. Gergely, Z. Kovács, Phys. Rev. D 72, 064015 (2005) Z. Kovács, L.Á. Gergely, Phys. Rev. D 77, 024003 (2008)

2+1+1 decomposition, nonorthogonal double foliation

C. Gergely, Z. Keresztes, L. Á. Gergely, Universe 4, 9 (2018) C. Gergely, Z. Keresztes, L. Á. Gergely, Phys. Rev. D 99, 124019 (2019)





## 2+1+1 decomposition, orthogonal double foliation

L.Á. Gergely, Z. Kovács, Phys. Rev. D 72, 064015 (2005) Z. Kovács, L.Á. Gergely, Phys. Rev. D 77, 024003 (2008)

two 3D hypersurfaces:  $S_t$  (constant time parameter: t)

 $\mathcal{M}_{\gamma}$  (constant spatial parameter:  $\chi$  )

intersection 2D surface:  $\Sigma_{t_{\chi}}$  with **induced metric**:  $h_{ab}$ 

### 2+1+1 decomposition of 4D metric:

$$\tilde{g}_{ab} = h_{ab} - n_a n_b + l_a l_b$$

evolution vectors:

$$\left(\frac{\partial}{\partial t}\right)^{a} = Nn^{a} + N^{a} \qquad n^{a}n_{a} = -1$$

$$\left(\frac{\partial}{\partial \chi}\right)^{a} = Ml^{a} + M^{a} \qquad l^{a}l_{a} = 1$$

due to co-dimensions 2, the embedding of  $\Sigma_{t_{\chi}}$  is complicated:

extrinsic curvatures: normal fundamental forms: normal fundamental scalars: accelerations:

| $K_{ab} = h_a^c h_b^d \tilde{\nabla}_c n_d$      | $L_{ab} = h_a^c h_b^d \tilde{\nabla}_c l_d$                       |
|--|---|
| $\mathcal{K}_a = h_a^c l^d \tilde{\nabla}_c n_d$ | $\mathcal{L}_a = -h_a^c n^d \tilde{\nabla}_d l_c = \mathcal{K}_a$ |
| $\mathcal{K} = l^d l^c \tilde{\nabla}_c n_d$     | $\mathcal{L} = n^d n^c \tilde{\nabla}_c l_d$                      |
| $\mathbf{a}_a = h_a^d n^c \tilde{\nabla}_c n_d$  | $\mathfrak{b}_a = h_a^d l^c \tilde{\nabla}_c l_d$                 |



## Gravitational dynamics with orthogonal double foliations

4D line-element in ADM-like variables (adapted to the 2+1+1 decomposition):

$$ds^{2} = \begin{pmatrix} dt & d\chi & dy^{a} \end{pmatrix} \begin{pmatrix} -N^{2} + N_{c}N^{c} & N_{c}M^{c} & N_{b} \\ N_{c}M^{c} & M^{2} + M_{c}M^{c} & M_{b} \\ N_{a} & M_{a} & h_{ab} \end{pmatrix} \begin{pmatrix} dt \\ d\chi \\ dy^{b} \end{pmatrix}$$

gravitational variables: generalised velocities:  $\{h_{ab}, M^{a}, M\} \quad (3+2+1 = 6 \text{ metric variables})$  $\{K_{ab}, \mathcal{K}^{a}, \mathcal{K}\}$ 

(extrinsic curvature, normal fundamental form, normal fundamental scalar)

non-dynamical metric variables:  $\{N, N^a\}$  (1+2 = 3 metric variables) (lapse, shift: – Lagrange-multipliers in the action

– coordinate system choice / diffeomorphism / gauge freedom)

### → only 9 metric variables

one of the gauge degrees of freedom was consumed by orthogonality!

This a problem, it hampers unambiguous gauge fixing!

## **Nonorthogonal foliations**



### The geometrical quantities of normals

Decomposition of the **covariant derivatives:** 

$$\begin{split} \tilde{\nabla}_{a}n_{b} &= K_{ab} + 2m_{(a}\mathcal{K}_{b)} + m_{a}m_{b}\mathcal{K} - n_{a}\mathfrak{a}_{b} + n_{a}m_{b}\mathcal{L}^{*} \\ \tilde{\nabla}_{a}l_{b} &= L_{ab} + 2k_{(a}\mathcal{L}_{b)} + k_{a}k_{b}\mathcal{L} + l_{a}\mathfrak{b}_{b} + l_{a}k_{b}\mathcal{K}^{*} \\ \tilde{\nabla}_{a}m_{b} &= K_{ab}^{*} + l_{a}\mathcal{K}_{b}^{*} + l_{b}\mathcal{L}_{a} + l_{a}l_{b}\mathcal{K}^{*} - k_{a}\left(\mathfrak{a}_{b}^{*} - l_{b}\mathcal{L}\right) \\ \tilde{\nabla}_{a}k_{b} &= L_{ab}^{*} + n_{a}\mathcal{L}_{b}^{*} + n_{b}\mathcal{K}_{a} + n_{a}n_{b}\mathcal{L}^{*} + m_{a}\left(\mathfrak{b}_{b}^{*} + n_{b}\mathcal{K}\right) \end{split}$$

### Geometrical quantities involved:

# The 10th metric variable gives vorticity to the basis vectors & is related to the Lorentz-rotation of the bases



## The velocity phase space

Generalised coordinates:  $\{g_{ab}, M^a, M\}$ Generalised velocities:  $\{K_{ab}, \mathcal{K}^a, \mathcal{K}\}$  $K_{ab} = \frac{1}{N} \left[ \frac{1}{2} \partial_t g_{ab} - D_{(a} N_{b)} \right] - \frac{\mathfrak{s}}{M \mathfrak{c}} \left[ \frac{1}{2} \partial_\chi g_{ab} - D_{(a} M_{b)} \right]$  $\mathcal{K}^{a} = \frac{1}{2MN} \left( \underline{\partial_{t} M^{a}} - \partial_{\chi} N^{a} - N^{b} D_{b} M^{a} + M^{b} D_{b} N^{a} \right) - \frac{M}{2N} D^{a} \left( \frac{\mathcal{N}}{M} \right)$  $\mathcal{K} = \frac{1}{MN} \left[ \frac{\partial_t M}{\uparrow} - \partial_\chi \mathcal{N} - N^a D_a M + M^a D_a \mathcal{N} \right]$ time derivatives! Variables expressing gauge freedom:  $N, N^a, (\mathcal{N})$ Non-dynamical geometrical quantities:  $(L_{ab}^*, \mathcal{L}^*)$  $L_{ab}^* = \frac{1}{M} \left| \frac{1}{2} \partial_{\chi} g_{ab} - D_{(a} M_{b)} \right|$  $\mathcal{L}^* = -\frac{1}{M} \left[ \partial_{\chi} \left( \ln N \right) - M^a D_a \left( \ln N \right) \right]$ 

## 2+1+1 form of the gravitational action in GR

 $\mathfrak{M}_{\chi}$ 

 $\mathcal{B}$ 

### Gauss identity:

( $\Sigma_{t_x}$  projection of the Riemann-tensor in the  $n^a, m^a$  basis):

$$R_{abcd} = g_a^i g_b^l g_c^k g_d^l \tilde{R}_{ijkl} + 2 \left( L_{a[c}^* L_{d]b}^* - K_{a[c} K_{d]b} \right)$$

Einstein-Hilbert action:  $S_{EH} = \int d^4x \sqrt{-\tilde{g}}\tilde{R}$ 

2+1+1 decomposition of the metric determinant:  $\sqrt{-\tilde{g}} = NM\sqrt{g}$ 

2+1+1 decomposition of the **curvature scalar** (twice contracted Gauss-identity):  $\tilde{R} = R + K^{2} + K_{ab}K^{ab} + 2\mathcal{K}^{b}\mathcal{K}_{b} + 2\mathcal{K}(K - \mathcal{K}) + 2\tilde{\mathfrak{L}}_{n}(K + \mathcal{K})$   $-(L^{*})^{2} - L^{*}_{ab}L^{*ab} - 2\mathcal{L}^{*}(\mathcal{L}^{*} - L^{*}) - 2\tilde{\mathfrak{L}}_{m}(L^{*} - \mathcal{L}^{*})$   $-2\left[\frac{D_{a}D^{a}N}{N} + \frac{D_{a}D^{a}M}{M} + \frac{D^{a}MD_{a}N}{NM}\right] \stackrel{\bullet}{\longrightarrow} D\text{-derivatives}$  R+time-derivatives

### Legendre transformation

Lagrangian density:  $\mathcal{L}^G = \pi^{ab} \dot{g}_{ab} + p_a \dot{M}^a + p \dot{M} - \mathcal{H}^G + \mathcal{L}^G_t + \mathcal{L}^G_v + \mathcal{L}^G_D$ Boundary terms:  $\mathcal{L}_{t}^{G} = 2\partial_{t} \left[ \sqrt{q} M \left( K + \mathcal{K} \right) \right]$  $\mathcal{L}_{\gamma}^{G} = 2\partial_{\gamma} \left[ \sqrt{g} \left( N\mathcal{L}^{*} - N_{a}\mathcal{K}^{a} - \mathcal{N}\mathcal{K} \right) \right]$  $\mathcal{L}_D^G = -2\sqrt{g}D_a \left[ MD^a N + NM^a \mathcal{L}^* + N^b \left( MK^a_{\ b} - M^a \mathcal{K}_b \right) \right]$  $+\mathcal{N}\left(M\mathcal{K}^{a}-M^{a}\mathcal{K}\right)$ Hamiltonian density:  $\mathcal{H}^G = N\mathcal{H}^G_+ + N^a\mathcal{H}^G_a + \mathcal{N}\mathcal{H}^G_M$ new Hamiltonian and momentum constraints:  $\mathcal{H}^G_{\perp} = \sqrt{g} \left\{ -M \left( R + 3L^{*ab}L^*_{ab} - L^{*2} \right) + 2g^{ab} \left( \partial_{\chi} - \mathfrak{L}_{\mathbf{M}} \right) L^*_{ab} \right\}$  $+2D^{a}D_{a}M + M\left[K_{ab}K^{ab} + 2\mathcal{K}_{a}\mathcal{K}^{a} - K^{2} - 2K\mathcal{K}\right]\right\}$  $\mathcal{H}_{a}^{G} = -2\sqrt{g} \left\{ D_{b} \left[ K_{a}^{b} M - M g_{a}^{b} \left( K + \mathcal{K} \right) \right] + \left( \partial_{\chi} - \mathfrak{L}_{\mathbf{M}} \right) \mathcal{K}_{a} \right\}$  $+\mathcal{K}_a M L^* + K D_a M$  $\mathcal{H}_{\mathcal{N}}^{G} = -2\sqrt{g} \left\{ M \left[ L^{*}\mathcal{K} - L_{ab}^{*}K^{ab} \right] - \left( \partial_{\chi} - \mathfrak{L}_{\mathbf{M}} \right) K \right\}$  $+\frac{1}{M}D_a\left(M^2\mathcal{K}^a\right)$ new

## Hamiltonian dynamics

**Generalised momenta** expressed with generalised velocities  $\{K_{ab}, \mathcal{K}^a, \mathcal{K}\}$ :

$$\pi^{ab} = M\sqrt{g} \left[ K^{ab} - g^{ab} \left( K + \mathcal{K} \right) \right]$$
$$p^{a} = 2\sqrt{g}\mathcal{K}^{a}$$
$$p = -2\sqrt{g}\mathcal{K}$$

Boundary terms: 
$$\mathcal{L}_{t}^{G} = -\partial_{t}\left(\pi + \frac{Mp}{2}\right)$$
  
(in terms  
of momenta)  $\mathcal{L}_{\chi}^{G} = \partial_{\chi}\left[2\sqrt{g}N\mathcal{L}^{*} - N_{a}p^{a} + \mathcal{N}\left(\frac{\pi}{M} - \frac{p}{2}\right)\right]$   
 $\mathcal{L}_{D}^{G} = -D_{a}\left\{2\sqrt{g}\left(MD^{a}N + NM^{a}\mathcal{L}^{*}\right) + N^{b}\left[2\pi_{b}^{a} - \left(\pi + \frac{Mp}{2}\right)g_{b}^{a} - M^{a}p_{b}\right]$   
 $+\mathcal{N}\left[Mp^{a} + M^{a}\left(\frac{\pi}{M} - \frac{p}{2}\right)\right]\right\}$ 

Hamiltonian and momentum constraints (in terms of momenta):

$$\begin{aligned} \mathcal{H}_{\perp}^{G} &= \sqrt{g} \left[ -M \left( R + 3L^{*ab}L_{ab}^{*} - L^{*2} \right) + 2g^{ab} \left( \partial_{\chi} - \mathfrak{L}_{\mathbf{M}} \right) L_{ab}^{*} + 2D^{a}D_{a}M \right] \\ &+ \frac{M}{\sqrt{g}} \left[ \frac{1}{M^{2}} \left( \pi_{ab}\pi^{ab} - \frac{\pi^{2}}{2} \right) + \frac{1}{2}p_{a}p^{a} + \frac{1}{8}p^{2} - \frac{\pi p}{2M} \right] \\ \mathcal{H}_{a}^{G} &= -2D_{b}\pi_{a}^{b} + pD_{a}M - \left( \partial_{\chi} - \mathfrak{L}_{\mathbf{M}} \right) p_{a} \\ \mathcal{H}_{\mathcal{N}}^{G} &= 2L_{ab}^{*}\pi^{ab} - 2p^{a}D_{a}M - MD_{a}p^{a} - \left( \partial_{\chi} - \mathfrak{L}_{\mathbf{M}} \right) p \end{aligned}$$

### **Poisson brackets**

Poisson brackets of two functionals:

$$\{f(\chi, y), h(\chi', y')\} = \int d\chi'' \int dy'' \left(\frac{\delta f(\chi, y)}{\delta g^C(\chi'', y'')} \frac{\delta h(\chi', y')}{\delta \pi_C(\chi'', y'')} - \frac{\delta f(\chi, y)}{\delta \pi_C(\chi'', y'')} \frac{\delta h(\chi', y')}{\delta g^C(\chi'', y'')}\right)$$
with:  

$$f(\chi, y) \equiv f\left(\chi, y; g^A(\chi, y), \pi_B(\chi, y)\right) \qquad g^A \equiv \{g_{ab}, M^a, M\}$$

$$h(\chi, y) \equiv h\left(\chi, y; g^A(\chi, y), \pi_B(\chi, y)\right) \qquad \pi_A \equiv \{\pi^{ab}, p_a, p\}$$

$$y \equiv \{y^1, y^2\}$$

Poisson brackets of canonical pairs:  $\{g^{A}(\chi, y), \pi_{B}(\chi', y')\} = \delta^{A}_{B}\delta(\chi - \chi')\delta(y - y')$ 

Smeared Hamiltonian density:

$$\mathcal{H}^{G}[N, N^{a}, \mathcal{N}] = \mathcal{H}^{G}_{\perp}[N] + \mathcal{H}^{G}_{a}[N^{a}] + \mathcal{H}^{G}_{\mathcal{N}}[\mathcal{N}]$$
$$\mathcal{H}^{G}_{\perp}[N] = \int d\chi \int dy N(\chi, y) \mathcal{H}^{G}_{\perp}(\chi, y)$$
$$\mathcal{H}^{G}_{a}[N^{a}] = \int d\chi \int dy N^{a}(\chi, y) \mathcal{H}^{G}_{a}(\chi, y)$$
$$\mathcal{H}^{G}_{\mathcal{N}}[\mathcal{N}] = \int d\chi \int dy \mathcal{N}(\chi, y) \mathcal{H}^{G}_{\mathcal{N}}(\chi, y)$$

### **Canonical equations:**

$$\dot{g}^{A} \equiv \left\{ g^{A}(\chi, y), \mathcal{H}^{G} \right\} = \frac{\delta \mathcal{H}^{G}[N, N^{a}, \mathcal{N}]}{\delta \pi_{A}(\chi, y)} ,$$
  
$$\dot{\pi}_{A} \equiv \left\{ \pi_{A}(\chi, y), \mathcal{H}^{G} \right\} = -\frac{\delta \mathcal{H}^{G}[N, N^{a}, \mathcal{N}]}{\delta g^{A}(\chi, y)}$$

### **Explicit form of canonical equations**

Canonical coordinate evolutions:

$$\dot{g}_{cd} = \frac{\delta \mathcal{H}^{G}[N, N^{a}, \mathcal{N}]}{\delta \pi^{cd}(\chi, y)} = \frac{N}{\sqrt{g}} \left[ \frac{1}{M} \left( 2\pi_{cd} - g_{cd}\pi \right) - \frac{1}{2}g_{cd}p \right] + 2D_{(c}N_{d)} + 2\mathcal{N}L_{cd}^{*}}{\dot{M}^{c}} \\ \dot{M}^{c} = \frac{\delta \mathcal{H}^{G}[N, N^{a}, \mathcal{N}]}{\delta p_{c}(\chi, y)} = N\frac{M}{\sqrt{g}}p^{c} + \left(\partial_{\chi} - \mathfrak{L}_{\mathbf{M}}\right)N^{c} - \mathcal{N}D^{c}M + MD^{c}\mathcal{N}}{\dot{M}} \\ \dot{M} = \frac{\delta \mathcal{H}^{G}[N, N^{a}, \mathcal{N}]}{\delta p(\chi, y)} = \frac{N}{2\sqrt{g}} \left(\frac{1}{2}Mp - \pi\right) + \mathfrak{L}_{\mathbf{N}}M + \left(\partial_{\chi} - \mathfrak{L}_{\mathbf{M}}\right)\mathcal{N}$$

new

Canonical momenta evolutions:

 $\dot{\pi}^{cd} = -\frac{\delta \mathcal{H}^G[N]}{\delta g_{cd}(\chi, y)} = \text{known terms with } (N, N^a) + \underline{\text{new terms with } \mathcal{N}}$  $\dot{p}_c = -\frac{\delta \mathcal{H}^G[N]}{\delta M^c(\chi, y)} = \text{known terms with } (N, N^a) + \underline{\text{new terms with } \mathcal{N}}$  $\dot{p} = -\frac{\delta \mathcal{H}^G[N]}{\delta M(\chi, y)} = \text{known terms with } (N, N^a) + \underline{\text{new terms with } \mathcal{N}}$ 

### **Gravitational scalar-tensor theories**

Horndeski-theory: the most general scalar-tensor theory with at most second order dynamics for both the scalar and the metric G.W. Horndeski, Int. J. Theor. Phys. 10, 363 (1974) C. Deffayet, G. Esposito-Farese, A. Vikman, Phys. Rev. D 79, 084003 (2009) C. Deffayet, S. Deser, G. Esposito-Farese, Phys. Rev. D 80, 064015 (2009)

Includes: GR, quintessence, k-essence, Brans-Dicke, f(R), galileon ...

The effective field theory (EFT) approach: action depending of geometric scalars, second order dynamics, space derivatives could be of higher order: GLPV theories / beyond Horndeski theories J. Gleyzes, D. Langlois, F. Piazza, F. Vernizzi, J. Cosmos. Astropart. Phys. 08 (2013) 025.

Kinetic braiding subclass of Horndeski and beyond Horndeski theories:

$$L_{c=1}^{EFT} = G_2(X,\phi) + G_3(X,\phi) \Box \phi + B_4(X,\phi) \tilde{R} -\frac{4}{X} B_{4,X}(X,\phi) \left(\phi^a \phi^b \phi_{ab} \Box \phi - \phi^a \phi_{ab} \phi_c \phi^{cb}\right)$$

Properties: Second order dynamics both for the tensor and scalar GWs (tensor perturbations) propagate with the speed of light

$$X = \tilde{g}^{ab} \partial_a \phi \partial_b \phi ,$$
  

$$\phi_a = \tilde{\nabla}_a \phi = \partial_a \phi ,$$
  

$$\phi_{ab} = \tilde{\nabla}_a \tilde{\nabla}_b \phi ,$$
  

$$\Box \phi = \tilde{\nabla}_a \tilde{\nabla}^a \phi ,$$

## **Constraints on Horndeski theory from GW170817**

GW propagation speed agrees with the speed of light at the order of one part in quadrillionth at low redshifts 1. Theories with dependence of the kinetic term X in the coupling of the Ricci curvature R and Einstein tensor Gmn in L4 and L5 are disruled

 2. L<sub>5</sub> does not depend on Φ either (except through its derivatives)
 3. due to the Bianchi identities, the

whole L5 vanishes

Kobayashi, T.; Yamaguchi, M.; Yokoyama, J., Prog. Theor. Phys. 2011, 126, 511–529. De Felice, A.; Tsujikawa, S., JCAP 2012, 007. Baker, T.; Bellini, E.; Ferreira, P.G.; Lagos, M.; Noller, J.; Sawicki, I., Phys. Rev. Lett. 2017, 119, 251301. Ezquiaga, J.M.; Zumalacarregu, M., Phys. Rev. Lett. 2017, 119, 251304. Creminelli, P.; Vernizzi, F. Phys. Rev. Lett. 2017, 119, 251302.

$$L^{\rm H} = \sum_{i=2}^{5} L_i^{\rm H}, \tag{4.4}$$

where

$$L_2^{\rm H} = G_2(\phi, X), \tag{4.5}$$

$$L_3^{\rm H} = G_3(\phi, X) \Box \phi, \qquad (4.6)$$

$$L_{4}^{\mathrm{H}} = G_{4}(\phi, X) R - 2G_{4X}(\phi, X)$$
$$\times [(\Box \phi)^{2} - \nabla^{a} \nabla^{b} \phi \nabla_{a} \nabla_{b} \phi], \qquad (4.7)$$





# Stability analysis example: perturbations of spherically symmetric static BHs in scalar-tensor gravity

EFT action:

$$S^{EFT} = \int dx^4 \sqrt{-\tilde{g}} L^{EFT} \left( N, M, \mathcal{K}, \mathfrak{K}, K, \varkappa, \mathcal{L}^*, L^*, \lambda^*, R; r \right)$$

Scalars from embedding variables:

"radial unitary" gauge

 $\mathfrak{K} \equiv \mathcal{K}^a \mathcal{K}_a , \quad \mathcal{K} \equiv \mathcal{K}^a_{\ a} , \quad \varkappa \equiv \mathcal{K}^a_{\ b} \mathcal{K}^b_{\ a} , \quad L^* \equiv L^{*a}_{\ a} , \quad \lambda^* \equiv L^{*a}_{\ b} L^{*b}_{\ a}$ 

Variations to second order:

$$\delta S^{EFT} = \delta_1 S^{EFT} + \delta_2 S^{EFT}$$
  
= 
$$\int dx^4 \left( \delta_1 \sqrt{-\tilde{g}} L^{EFT} + \sqrt{-\tilde{g}} \delta_1 L^{EFT} + \delta_1 \sqrt{-\tilde{g}} \delta_1 L^{EFT} + \delta_2 \sqrt{-\tilde{g}} L^{EFT} + \sqrt{-\tilde{g}} \delta_2 L^{EFT} \right)$$

variation of the metric determinant:

$$\begin{split} \delta\sqrt{-\tilde{g}} &= \delta_1\sqrt{-\tilde{g}} + \delta_2\sqrt{-\tilde{g}} \\ &= \sqrt{-\tilde{g}}\left(\frac{\delta_1N}{\bar{N}} + \frac{\delta_1M}{\bar{M}} + 2\zeta\right) \\ &+ \sqrt{-\tilde{g}}\left[\frac{\delta_1M\delta_1N}{\bar{M}\bar{N}} + 2\zeta\left(\frac{\delta_1N}{\bar{N}} + \frac{\delta_1M}{\bar{M}}\right) + 2\zeta^2\right] \end{split}$$

### conformal transformation

between the 2-dimensional metrics:

$$g_{ab} = e^{2\zeta} \bar{g}_{ab}$$

### **First order variation**

Line element:

 $\bar{N}^a = \bar{M}^a = \bar{\mathcal{N}} = 0 \longrightarrow ds^2 = -\bar{N}^2 dt^2 + \bar{M}^2 dr^2 + r^2 \left( d\theta^2 + \sin^2 \theta d\varphi^2 \right)$ 

Background values of the variables in the action:

$$\bar{\mathcal{K}} = \bar{\mathcal{K}} = \bar{\mathcal{K}} = \bar{\mathcal{K}} = 0 , \quad \bar{\mathcal{L}}^* = -\frac{\bar{N}'}{\bar{M}\bar{N}} , \quad \bar{L}^* = \frac{2}{\bar{M}r} , \quad \bar{\lambda}^* = \frac{2}{\bar{M}^2 r^2} , \quad \bar{R} = \frac{2}{r^2}$$

Nonvanishing first order variations (4 independent):

$$\underline{\delta_1 M}, \ \underline{\delta_1 N}, \ \delta_1 R = -2\underline{\zeta}\bar{R} - 2\bar{g}^{ab}\bar{D}_a\bar{D}_b\zeta, \ \delta_1 \mathcal{K} = \mathcal{K} = \frac{1}{\bar{M}\bar{N}} \left[\partial_t \left(\delta_1 M\right) - \partial_r \left(\underline{\delta_1 \mathcal{N}}\right)\right]$$
Vanishing ones (second order variations only): 
$$\delta_1 \varkappa = \delta_1 \mathfrak{K} = 0$$

Other (dependent) first order variations:

$$\delta_{1}\lambda^{*} = \frac{2}{\bar{M}r}\delta_{1}L^{*} \qquad \delta_{1}\mathcal{L}^{*} = -\frac{\partial_{r}(\delta N)}{\bar{M}\bar{N}} + \frac{\bar{N}'}{\bar{M}\bar{N}}\left(\frac{\delta_{1}M}{\bar{M}} + \frac{\delta_{1}N}{\bar{N}}\right)$$
  
$$\delta_{1}L^{*} = \left[\tilde{\nabla}_{a}m^{a} - \frac{\bar{N}'}{\bar{M}\bar{N}} - \frac{2}{\bar{M}r}\right] + \delta_{1}\mathcal{L}^{*} \qquad \delta_{1}K = K = \tilde{\nabla}_{a}n^{a} - \delta_{1}\mathcal{K}$$

### Equations of motion for the background

First order variation of the EFT action:

$$\begin{split} \delta_{1}S^{EFT} &= \int d^{4}x\sqrt{-\tilde{g}} \left\{ \left[ L_{N}^{EFT}\delta_{1}N + L_{M}^{EFT}\delta_{1}M + L_{\mathcal{K}}^{EFT}\delta_{1}\mathcal{K} + L_{K}^{EFT}\delta_{1}K \right. \\ &+ L_{\mathcal{L}^{*}}^{EFT}\delta_{1}\mathcal{L}^{*} + L_{L^{*}}^{EFT}\delta_{1}L^{*} + L_{\lambda^{*}}^{EFT}\delta_{1}\lambda^{*} + L_{R}^{EFT}\delta_{1}R \right] + L^{EFT}\delta_{1}\ln\sqrt{-\tilde{g}} \right\} \\ &= \dots...\text{cumbersome calculations }\dots... \\ &= \int d^{4}x\sqrt{-\tilde{g}} \left( \frac{\delta_{1}S^{EFT}}{\delta\ln N} \delta\ln N + \frac{\delta_{1}S^{EFT}}{\delta\ln M} \delta\ln M + \frac{\delta_{1}S^{EFT}}{\delta\ln \mathcal{N}} \delta\ln \mathcal{N} + \frac{\delta_{1}S^{EFT}}{\delta\zeta} \delta\zeta \right) \\ &+ \text{total covariant divergencies} \end{split}$$

Equations of motion:

$$\begin{aligned} \frac{\delta_{1}S^{EFT}}{\delta \ln N} &= L^{EFT} + \bar{N}L_{N}^{EFT} + \frac{1}{\bar{M}}\left(\frac{2}{r} + \frac{\bar{N}'}{\bar{N}} + \partial_{r}\right)L_{\mathcal{L}^{*}}^{EFT} = 0 \\ \frac{\delta_{1}S^{EFT}}{\delta \ln M} &= L^{EFT} + \bar{M}L_{M}^{EFT} - \frac{2}{r\bar{M}}\mathcal{F} + \frac{\bar{N}'}{\bar{M}\bar{N}}L_{\mathcal{L}^{*}}^{EFT} = 0 \\ \frac{\delta_{1}S^{EFT}}{\delta \ln \mathcal{N}} &= \frac{1}{\bar{N}\bar{M}}\left[\partial_{r}L_{\mathcal{K}}^{EFT} + \frac{2}{r}\left(L_{\mathcal{K}}^{EFT} - L_{K}^{EFT}\right)\right] = 0 \\ \frac{\delta_{1}S^{EFT}}{\delta\zeta} &= 2\left[L^{EFT} - \frac{1}{\bar{M}}\left(\frac{2}{r} + \frac{\bar{N}'}{\bar{N}} + \partial_{r}\right)\mathcal{F} - \frac{2}{r^{2}}L_{R}^{EFT}\right] = 0 \end{aligned}$$
arising from the non-orthogonality of the employed double foliation

## Scalar perturbations for GLPV black holes: gauge fixing

Unambiguous gauge-fixing for scalar perturbations of both the metric tensor and scalar field on a spherically symmetric, static background. C. Gergely, Z. Keresztes, L. Á. Gergely, *Gravitational dynamics in 2+1+1 decomposed space-time along nonorthogonal double foliations. Hamiltonian evolution and gauge fixing,* Phys. Rev. D 99, 104071 (2019)

**Perturbed metric** (overbar = unperturbed quantities):

$$ds^{2} = -\left(\bar{N}^{2} + 2\bar{N}\delta N\right)dt^{2} + 2\bar{M}\delta \mathcal{N}dtd\chi + 2\delta N_{a}dtdx^{a} + \left(\bar{g}_{ab} + \delta g_{ab}\right)dx^{a}dx^{b} + 2\delta M_{a}dx^{a}d\chi + \left(\bar{M}^{2} + 2\bar{M}\delta M\right)d\chi^{2}$$

### Choices on the background:

R. Kase, L. Á. Gergely, S. Tsujikawa, *Effective field* theory of modified gravity on the spherically symmetric background: Leading order dynamics and the odd-type perturbations Phys. Rev. D 90, 124019 (2014)

$$\bar{\mathcal{N}} = 0$$

$$ar{\phi}~=~ar{\phi}(\chi)$$

(evolutions perpendicular to  $\Sigma_{t\chi}$ )

(perpendicular double foliation)

(constant scalar field on  $\, ar{\mathfrak{M}}_{\chi}$  )

### **Even/odd decomposition and transformation**

Helmholz-type **decomposition** of the **shift vectors** and **metric tensor** into scalars (even), curl-free (even) and divergence-free (odd) parts:

$$\begin{split} \delta N_a &= \bar{D}_a P + E_a^b \bar{D}_b Q\\ \delta M_a &= \bar{D}_a V + E_a^b \bar{D}_b W\\ \delta g_{ab} &= \bar{g}_{ab} A + \bar{D}_a \bar{D}_b B + \\ &\quad \frac{1}{2} \left( E_a^c \bar{D}_c \bar{D}_b + E_b^c \bar{D}_c \bar{D}_a \right) C\\ &\quad E_{ab} = \sqrt{\bar{g}} \varepsilon_{ab} , \quad \varepsilon_{\theta\varphi} = 1 \end{split}$$

Transformations of the metric and scalar under diffeomorphisms:

(overhat = perturbation after diffeomorphism)

$$\begin{aligned} \mathfrak{L}_{\xi} \tilde{g}_{ab} &= \delta \tilde{g}_{ab} - \widehat{\delta \tilde{g}_{ab}} \\ \mathfrak{L}_{\xi} \phi &= \delta \phi - \widehat{\delta \phi} \\ \xi^{t}, \xi^{\chi}, \xi^{a} &= \bar{D}^{a} \xi + E^{ba} \bar{D}_{b} \eta \end{aligned}$$

$$\begin{split} \widehat{\delta\phi} &= \delta\phi - \bar{\phi}'\xi^{\chi} \\ \widehat{\deltaN} &= \delta N - \bar{N}\dot{\xi}^t - \bar{N}'\xi^{\chi} ,\\ \widehat{\delta\mathcal{N}} &= \delta N - \frac{\bar{N}^2}{2\bar{M}}\xi^{t\prime} + \frac{\bar{M}}{2}\dot{\xi}^{\dot{\chi}} ,\\ \widehat{\deltaM} &= \delta M + \bar{M}'\xi^{\chi} + \bar{M}\xi^{\chi\prime} ,\\ \widehat{\rho} &= \delta M + \bar{M}'\xi^{\chi} + \bar{M}\xi^{\chi\prime} ,\\ \widehat{P} &= P - \bar{N}^2\xi^t + \dot{\xi} ,\\ \widehat{Q} &= Q + \dot{\eta} ,\\ \widehat{V} &= V + \bar{M}^2\xi^{\chi} + \xi' - \frac{2}{\chi}\xi ,\\ \widehat{W} &= W + \eta' - \frac{2}{\chi}\eta ,\\ \widehat{M} &= A + \frac{2}{\chi}\xi^{\chi} ,\\ \widehat{B} &= B + 2\xi ,\\ \widehat{C} &= C + 2\eta . \end{split}$$

## **Gauge choice**

$$\begin{array}{l} \rightarrow \ \xi^{\chi} \ \text{to fix } \widehat{\delta\phi} = 0 \\ \rightarrow \ \xi \ \text{to fix } \widehat{B} = 0 \\ \rightarrow \ \eta \ \text{to fix } \widehat{C} = 0 \end{array} \end{array} \xrightarrow{\text{perturbation of 2D-metric} = \text{conformal rescaling}} \widehat{g}_{ab} = \ (1 + \widehat{A}) \overline{g}_{ab} \end{array}$$

Choice of  $\xi^t$ : (1) for orthogonal foliation  $\rightarrow$  to fix  $\widehat{\delta N} = 0$  $\xi^t = \int d\chi \frac{2\bar{M}}{\bar{N}^2} \left( \delta N + \frac{\bar{M}}{2} \dot{\xi}^{\chi} \right) + F(t, \theta, \varphi)$ contains an arbitrary function,

hampering the physical interpretation of perturbations

(2) for non-orthogonal foliations  $\rightarrow$  to fix  $\widehat{P} = 0$ unambiguous gauge-choice:  $\xi^t = \frac{P + \dot{\xi}}{\overline{N}^2}, \quad \xi^{\chi} = \frac{\delta\phi}{\overline{\phi}'}, \quad \xi = -\frac{B}{2}, \quad \eta = -\frac{C}{2}$ After gauge-fixing the discussion of perturbations possible even sector:  $\widehat{V}, \widehat{A}, \widehat{\delta N}, \widehat{\delta N}, \widehat{\delta M}$  odd sector:  $\widehat{Q}, \widehat{W}$ Zerilli-type f. Zerilli, Phys. Rev. D 9, 860 (1974) L Regge, J. A. Wheeler, Phys. Rev. 108, 1063 (1957)

## **Comparison of gauge choices**

## T. Regge, J. A. Wheeler, Stability of a Schwarzschild Singularity, Phys. Rev. 108, 1063 (1957). GR, time-independent Schrödinger-equation with an effective potential Stable w.respect to perturbations

KMS
 T. Kobayashi, H. Motohashi, T. Suyama, Black hole perturbation in the most general scalar-tensor theory with second-order field equations I: The odd-parity sector, Phys. Rev. D 85, 084025 (2012) [arXiv:1202.4893 [gr-qc]].
 T. Kobayashi, H. Motohashi, T. Suyama, Black hole perturbation in the most general scalar-tensor theory with second-order field equations II: the even-parity sector, Phys. Rev. D 89, 084042 (2014) [arXiv:1402.6740 [gr-qc]].
 Horndeski, stability analysis, only 3 RW variables

- KGT
   R. Kase, L. Á. Gergely, S. Tsujikawa, Effective field theory of modified gravity on spherically symmetric background: leading order dynamics and the odd mode perturbations, Phys. Rev. D 90, 124019 (2014) [arXiv:1406.2402 [hep-th]].
   EFT, odd sector stability analysis, nonphysical variables in the even sector
- GKG
   C. Gergely, Z. Keresztes, L. Á. Gergely, Gravitational dynamics in 2+1+1 decomposed space-time along nonorthogonal double foliations. Hamiltonian evolution and gauge fixing, Phys. Rev. D, megjelenés alatt (2019).
   EFT, 4 RW variables + 1 d.o.f. due to the scalar

|                         | odd perturbations   |   | even perturbations  |  |   |
|-------------------------|---|---|---|--|---|
|                         | vanishing   | physical  | vanishing   | physical   | nonvanishing, nonphys                                     |
| RW<br>KMS<br>KGT<br>GKG | $\begin{aligned} \widehat{C} &= 0\\ \widehat{C} &= 0\\ \widehat{C} &= 0\\ \widehat{C} &= 0 \end{aligned}$ | $ \begin{array}{l} \widehat{Q}, \ \widehat{W} \\ \widehat{Q}, \ \widehat{W} \\ \widehat{Q}, \ \widehat{W} \\ \widehat{Q}, \ \widehat{W} \\ \widehat{Q}, \ \widehat{W} \end{array} $ | $\begin{split} \widehat{B} &= \widehat{P} = \widehat{V} = 0\\ \widehat{B} &= \widehat{P} = \widehat{A} = 0\\ \widehat{B} &= \widehat{\delta \phi} = 0\\ \widehat{B} &= \widehat{P} = \widehat{\delta \phi} = 0 \end{split}$ | $ \begin{array}{c} \widehat{\delta N},  \widehat{\delta \mathcal{N}},  \widehat{\delta M},  \widehat{A} \\ \widehat{\delta N},  \widehat{\delta \mathcal{N}},  \widehat{\delta M},  \widehat{V},  \widehat{\delta \phi} \\ \widehat{\delta M},  \widehat{A},  \widehat{V} \\ \widehat{\delta N},  \widehat{\delta \mathcal{N}},  \widehat{\delta M},  \widehat{A}  \widehat{V} \end{array} $ | $\widehat{\delta N}, \ \widehat{\delta N}, \ \widehat{P}$ |

## Odd sector analysis: Q, W

## Odd sector unaffected by the arbitrary function F, has been discussed in the framework of the orthogonal double foliation:

R. Kase, L. Á. Gergely, S. Tsujikawa, *Effective field theory of modified gravity on the spherically symmetric background: Leading order dynamics and the odd-type perturbations* Phys. Rev. D 90, 124019 (2014)

4th order equations for the evolution of perturbations:

$$\begin{split} \bar{D}^2 \Psi^{(1)} &= 0, \qquad \Psi^{(1)} \equiv a_1 \frac{\partial}{\partial t} \left( \dot{W} - Q' + \frac{2Q}{r} \right) + (a_3 \bar{D}^2 - a_4) W, \\ \bar{D}^2 \Psi^{(2)} &= 0, \qquad \Psi^{(2)} \equiv \frac{1}{\sqrt{-\bar{g}}} \frac{\partial}{\partial r} \left[ \sqrt{-\bar{g}} a_1 \left( \dot{W} - Q' + \frac{2}{r} Q \right) \right] - a_2 \left( \bar{D}^2 + \frac{2}{r^2} \right) Q. \end{split}$$

where:

$$a_1 = \frac{L_{\widehat{\mathfrak{K}}}^{\rm EFT}}{4\bar{N}^2\bar{M}^2}, \qquad a_2 = \frac{L_{\varkappa}^{\rm EFT}}{2\bar{N}^2}, \qquad a_3 = \frac{L_{\lambda}^{\rm EFT}}{2\bar{M}^2}, \qquad a_4 = L_{\mathfrak{M}}^{\rm EFT} - \frac{2}{r^2}a_3$$

### **Multipolar decomposition**

Decomposition in terms of spherical harmonics:

$$\Psi^{(i)}(t,r,\theta,\varphi) = \sum_{l,m} \Psi^{(i)}_{lm}(t,r) Y^m_l.$$

Reduce the differential order to 2 by exploring the identities:

$$\begin{split} r^2 \bar{D}^2 \big[ \Psi_{lm}^{(i)}(t,r) Y_l^m \big] + l(l+1) \big[ \Psi_{lm}^{(i)}(t,r) Y_l^m \big] &= 0. \\ \text{2nd order system for each mode:} \quad f_l \equiv \sum_m f_{lm} Y_l^m \\ \text{2nd order time derivative} &-> \text{dynamical eq.} \\ & & \downarrow \\ \sum_l l(l+1) \Psi_l^{(1)} = 0, \qquad \Psi_l^{(1)} \equiv a_1 \frac{\partial}{\partial t} \Big( \dot{W}_l - Q_l' + \frac{2}{r} Q_l \Big) - \Big[ a_3 \frac{l(l+1)}{r^2} + a_4 \Big] W_l, \end{split}$$

$$\sum_{l} l(l+1)\Psi_l^{(2)} = 0, \qquad \Psi_l^{(2)} \equiv \frac{1}{\sqrt{-\bar{g}}} \frac{\partial}{\partial r} \left[ \sqrt{-\bar{g}} a_1 \left( \frac{\dot{W}_l - Q_l' + \frac{2}{r} Q_l}{r} \right) \right] + a_2 \frac{l(l+1) - 2}{r^2} Q_l.$$

1st order time derivative —> Lagrangian constraint

### Monopolar, dipolar, higher-order modes

Monopolar mode: trívíal, appear only in total divergences in Lag. Dípolar mode: non-dynamical, constant in time Hígher order mode solutions parametrically given as:

$$Q_{l} = -\frac{r^{2}}{a_{2}(l+2)(l-1)\sqrt{-\bar{g}}}\frac{\partial}{\partial r}(\sqrt{-\bar{g}}a_{1}Z_{l}) \qquad \qquad W_{l} = \frac{a_{1}r^{2}}{a_{3}l(l+1) + a_{4}r^{2}}$$

Second-order correction in the Lagrangian:

$$\begin{split} \delta_{2}\mathcal{L}_{l}^{\text{odd}} = & \frac{l(l+1)}{(l+2)(l-1)}\sqrt{-\bar{g}} \left[ -\frac{a_{1}^{2}}{a_{3}}\dot{Z}_{l}^{2} - \frac{a_{1}^{2}}{a_{2}}Z_{l}^{\prime 2} \right. \\ & \left. -a_{1}(\bar{D}Z_{l})^{2} - U^{\text{H}}(r)Z_{l}^{2} + \frac{a_{1}}{a_{3}}L_{\mathfrak{M}}^{\text{EFT}}W_{l}\dot{Z}_{l} \right] \end{split}$$

where the potential  $U^{\rm H}(r)$  is given by

$$U^{\rm H}(r) = -a_1 \frac{\partial}{\partial r} \left[ \frac{1}{\sqrt{-\bar{g}}a_2} \frac{\partial}{\partial r} (\sqrt{-\bar{g}}a_1) \right] - \frac{2a_1}{r^2}$$

Last term is L-dependent  $-> L_{\mathfrak{M}}^{\mathrm{EFT}} = 0$ , to avoid propagation speed to be dependent (holds in both Horndeski and GLPV)

2

 $Z_l$ 

## Ghost modes, stability analysis

- Condition to avoid scalar ghosts:

$$L_{\lambda}^{\mathrm{EFT}} < 0.$$

 Dispersion relations in the radial direction and along the sphere in the high-frequency / geometrical optics / large wave number limit

$$\omega^2 + \frac{a_3}{a_2}k_r^2 = 0, \qquad \omega^2 + \frac{a_3}{a_1}k_\Omega^2 = 0,$$

- Sound velocity-squares : (defined as change of tortoise coordinate in proper time)

$$\begin{aligned} \mathbf{k} \mathbf{s} \mathbf{e} \quad & c_r^2 \equiv \frac{\bar{M}^2 k_r^2}{\bar{N}^2 \omega^2} = -\frac{\bar{M}^2 a_3}{\bar{N}^2 a_2} = -\frac{L_{\lambda}^{\text{EFT}}}{L_{\kappa}^{\text{EFT}}}, \\ & c_{\Omega}^2 \equiv \frac{k_{\Omega}^2}{\bar{N}^2 \omega^2} = -\frac{a_3}{\bar{N}^2 a_1} = -\frac{2L_{\lambda}^{\text{EFT}}}{L_{\kappa}^{\text{EFT}}} \end{aligned}$$

- Conditions to avoid Laplacian instabilities:

$$\mathcal{R} \equiv {}^{(2)}R^a{}_a, \qquad \mathfrak{M} \equiv M_a M^a, \qquad \mathfrak{R} \equiv \mathcal{K}_a \mathcal{K}^a = \mathcal{L}_a \mathcal{L}^a, \\ K \equiv K^a{}_a, \qquad \varkappa \equiv K^a{}_b K^b{}_a, \qquad L \equiv L^a{}_a, \\ \lambda \equiv L^a{}_b L^b{}_a. \qquad (3.2)$$

- was applied to both covariantized and covariant galileon models

## Summary

- We reestablished the full gauge invariance, by exploring a non-orthogonal double foliation. Generic discussion of perturbations is now possible.
- We geometrically interpreted the 10th metric variable as (1) the angle of the Lorentz-rotation of the basis vectors, (2) the measure of the vorticity of the basis vectors.
- We identified those geometrical quantities characterising the embedding, which bear dynamical role (they contain time-derivatives).
- For scalar-tensor gravitational theories we worked out an unambiguous gauge fixing for spherically symmetric static black hole perturbations, applicable for both the even and odd sectors.
- We derived the first order variations of an EFT action for spherically symmetric static background and obtained the equations of motion for the background
- We completed the discussion of the odd modes of perturbations, including stability analysis
- Full second order variation and discussion of the even modes in progress

### **Prospects**

1. Could the Event Horizon Telescope distinguish between general relativistic and modified gravity black holes?

**Not yet.** (Cannot distinguish various black holes / accretion parameters in  $\mu as$  resolution not even in general relativity)

The EHT Collaboration et al.

THE ASTROPHYSICAL JOURNAL LETTERS, 875:L1 (17pp), 2019 April 10



Figure 4. Top: three example models of some of the best-fitting snapshots from the image library of GRMHD simulations for April 11 corresponding to different spin parameters and accretion flows. Bottom: the same theoretical models, processed through a VLBI simulation pipeline with the same schedule, telescope characteristics, and weather parameters as in the April 11 run and imaged in the same way as Figure 3. Note that although the fit to the observations is equally good in the three cases, they refer to radically different physical scenarios; this highlights that a single good fit does not imply that a model is preferred over others (see Paper V).

### **Prospects**

2. Can distinguish gravitational wave detection between general relativistic and modified gravity black holes?

In the future yes. (Third generation detectors)

